

ACCOUNT OPENING DOCUMENT CHECKLIST

As part of our commitment to providing exceptional service, we would like to share the necessary documentation required for your investments in the UTC Global Balanced Fund Limited (UTC GBFL).

To ensure a smooth and efficient process, please prepare the following documents:

Individual

- Proof of Identity: Two valid government-issued IDs (Passport, Driver's license or National ID)
- Proof of Address: Utility bill including Mobile Phone bills or Credit Card statement (issued within the last 3 months) or Proof of address letter
- Proof of Income: Salary slip, Job letter or Bank Statement (issued within the last 3 months)
- Completed UTC GBFL Registration Form: Ensure all sections are filled out accurately
- Completed UTC GBFL Subscription Form: Ensure all sections are filled out accurately
- CRS Self Certification Form (if applicable)
- Source of Funds Declaration (if investment amount is USD10,000 or XCD25,000 and over)

Note: Foreign Residents and Other OECS Residents must present notarized proof of identity.

BANK DETAILS

Please use the following bank details for all transactions related to the UTC Global Balanced Fund Limited (UTC BGFL):

Minimum initial investment amount: USD100.00 or XCD271.69

Minimum subsequent investment amount: USD20.00 or XCD54.31

UTC GBFL Bank Account Information - Electronic Funds Transfer (EFT)

XCD Banking Information

Bank Name	Republic Bank EC Limited
Bank Address	William Peter Boulevard, Castries, St. Lucia
Account Name	UTC Global Balanced Fund Limited
Address	Rodney Bay, Gros-Islet, St. Lucia
Bank Account Number	200000074568
Swift code	RBNKLCLC
Transit Number	50105
Account Type	Chequing
Currency	XCD
Routing Number	501050724

Thank you for choosing UTC Fund Management Services STL Limited (UTC FMS)

[UTC GBFL Bank Account Information - USD](#)

INTERMEDIARY BANK

Bank Name	Bank of America
Bank Account Number	1901079008
Swift code	BOFAUS3M
Routing Number	26009593
Currency	USD

FOR CREDIT TO:

BENEFICIARY BANK

Bank Name	Republic Bank EC Limited
Bank Address	William Peter Boulevard, Castries, St. Lucia
Swift code	RBNKLCLC
Transit Number	50105
Currency	USD

FOR FURTHER CREDIT TO:

Account Name	UTC Global Balanced Fund Limited
Address	Rodney Bay, Gros-Islet, St. Lucia
USD Bank Account Number	200000074622